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Attorney Docket No. 18989-028

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Dzau, et al.

SERIAL NUMBER:

10/701,789

EXAMINER:

Not Yet Assigned

FILING DATE:

November 5, 2003

ART UNIT:

1636

FOR:

MESENCHYMAL STEM CELLS AND METHODS OF USE

THEREOF

### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages);
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Copies of Cited References: C53-56; and
- 3. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 18989-028. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

c/o MINTZ, LEVIN

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Customer no.: 30623 Tel: (617) 542-6000

Fax: (617) 542-2241

Date: October 19, 2004

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/US03/35111, mailed August 23, 2004 and which corresponds to the above-identified case. Accordingly, no fee or certification is believed due.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Dzau et al. 10/701,789

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action

is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 18989-028.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

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Page 1 of 1 Attorney Docket No.: 18989-028

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PTO/SB (12-97)
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18989-028

Modified Form 1449/PTO	Application Number	10/701,789	
	Filing Date	November 5, 2003	
INFORMATION DISCLOSURE	First Named Inventor	Dzau	
STATEMENT BY APPLICANT	Group Art Unit	1636	
	Examiner Name	Not Yet Assigned	
(use as many sheets as necessary)	Attorney Docket Number	18989-028	

**Attorney Docket Number** 

				U.S. PATENT DOCUMENTS			
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
			U.S. F	PUBLISHED APPLICATION DOCUMENTS			
Exam Initials	Cite No.	U.S. Published Application No.	Published Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
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Exam Initials	Cite No.	Foreign Patent D Office Number	ocument	Name of Patentee(s) or Applicant(s)	Date of Publicat	on	Translation Yes No

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.		
	C53	Fukuda et al. <i>Blood</i> , 100(7):2463-2471 (2002).		
	C54	Noiseux et al. Circulation, 108(17): Supplement, pg. IV-5, Abstract #24.		
	C55	Yamaoka T. Biochem. Biophys. Res. Comm., 296:1039-1043 (2002).		
	C56	International Search Report for PCT/US03/35111, mailed August 23, 2004.		

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No, filed, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).					
Examiner Signature		Date Considered			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.